

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Uniphos Enterprises Limited	
2.	Scrip Code/Name of Scrip/Class of Security: BSE- 500429, NSE SYMBOL - UNIENTER / Equity	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending 30th September, 2021
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

*** If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.**

GENERATED ON :11/10/2021 NSDL : 30/09/2021 CDSL :30/09/2021

Uniphos Enterprises Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	5	51306595	0	0	51306595	73.7764	51306595	0	51306595	73.7738	0	73.7764	0	0	0	0	51306595
(B)	Public	12328	18236754	0	0	18236754	26.2236	18236754	0	18236754	26.2226	0	26.2236	0	0	NA	NA	17793603
(C)	Non Promoter - Non Public	2	0	0	2500	2500	0.0000	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C1)	Shares Underlying DRs	2	0	0	2500	2500	0.0000	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
	Total	12335	69543349	0	2500	69545849	100	69545849	0	69545849	100	0	100	0	0	0	0	69101898

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Uniphos Enterprises Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total									
(I)			(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)		
1	Indian																			
(a)	Individuals / Hindu Undivided Family		3	330923	0	0	330923	0.4759	330923	0	330923	0.4758	0	0.4759	0	0	0	0	0	330923
	Shilpa P Sagar	Promoter Group	1	329123	0	0	329123	0.4733	329123	0	329123	0.4732	0	0.4733	0	0	0	0	0	329123
	Varun Jaidev Shroff	Promoter Group	1	1300	0	0	1300	0.0019	1300	0	1300	0.0019	0	0.0019	0	0	0	0	0	1300
	Tania Jaidev Shroff	Promoter Group	1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	0	500
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		2	50975672	0	0	50975672	73.3006	50975672	0	50975672	73.2979	0	73.3006	0	0	0	0	0	50975672
	Bodies Corporate		2	50975672	0	0	50975672	73.3006	50975672	0	50975672	73.2979	0	73.3006	0	0	0	0	0	50975672
	Nerka Chemicals Pvt Ltd	Promoter	1	50966612	0	0	50966612	73.2875	50966612	0	50966612	73.2849	0	73.2875	0	0	0	0	0	50966612
	R Shroff Consultants Private Limited	Promoter Group	1	9060	0	0	9060	0.013	9060	0	9060	0.0130	0	0.013	0	0	0	0	0	9060
	Sub Total (A)(1)		5	51306595	0	0	51306595	73.7764	51306595	0	51306595	73.7738	0	73.7764	0	0	0	0	0	51306595
2	Foreign																			
	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		5	51306595	0	0	51306595	73.7764	51306595	0	51306595	73.7738	0	73.7764	0	0	0	0	0	51306595

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Uniphos Enterprises Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																	
(a)	Mutual Fund	3	2260	0	0	2260	0.0032	2260	0	2260	0.0032	0	0.0032	0	0	NA	NA	2260
(b)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	NA	NA	0
(c)	Alternate Investment Funds	0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	NA	NA	0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	NA	NA	0
(e)	Foreign Portfolio Investor	15	10702462	0	0	10702462	15.3896	10702462	0	10702462	15.3891	0	15.3896	0	0	NA	NA	10701612
	Ares Diversified	1	3171572	0	0	3171572	4.5606	3171572	0	3171572	4.5604	0	4.5606	0	0	NA	NA	3171572
	Resonance Opportunities Fund	1	2535307	0	0	2535307	3.6456	2535307	0	2535307	3.6455	0	3.6456	0	0	NA	NA	2535307
	Arial Holdings 1	1	939602	0	0	939602	1.3511	939602	0	939602	1.3511	0	1.3511	0	0	NA	NA	939602
	Eos Multi Strategy Fund Ltd	1	933938	0	0	933938	1.343	933938	0	933938	1.3429	0	1.343	0	0	NA	NA	933938
	Acacia Partners, Lp	1	910000	0	0	910000	1.3085	910000	0	910000	1.3085	0	1.3085	0	0	NA	NA	910000
	Acacia Institutional Partners, Lp	1	720000	0	0	720000	1.0353	720000	0	720000	1.0353	0	1.0353	0	0	NA	NA	720000
(f)	Financial Institutions / Banks	5	1100	0	0	1100	0.0016	1100	0	1100	0.0016	0	0.0016	0	0	NA	NA	1100
(g)	Insurance Companies	0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	NA	NA	0
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	NA	NA	1800
	Foreign Bank	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	NA	NA	1800
	Sub Total (B)(1)	24	10707622	0	0	10707622	15.397	10707622	0	10707622	15.3965	0	15.397	0	0	NA	NA	10706772
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	NA	NA	0
3	Non-Institutions																	
(a)	Individuals		0	0												NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	11469	5010501	0	0	5010501	7.2049	5010501	0	5010501	7.2046	0	7.2049	0	0	NA	NA	4687076
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	4	1369188	0	0	1369188	1.9688	1369188	0	1369188	1.9688	0	1.9688	0	0	NA	NA	1369188
	Gaurav N Lodha		700593	0	0	700593	1.0074	700593	0	700593	1.0074	0	1.0074	0	0	NA	NA	700593
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	NA	NA	0
	Trust Employee	0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0.0000	0	0	0	0	NA	NA	0
(c)	Any Other (Specify)	831	1149443	0	0	1149443	1.6528	1149443	0	1149443	1.6528	0	1.6528	0	0	NA	NA	1030567
	Trusts	1	17920	0	0	17920	0.0258	17920	0	17920	0.0258	0	0.0258	0	0	NA	NA	17920
	Foreign Nationals	1	62	0	0	62	0.0001	62	0	62	0.0001	0	0.0001	0	0	NA	NA	62
	Hindu Undivided Family	254	372990	0	0	372990	0.5363	372990	0	372990	0.5363	0	0.5363	0	0	NA	NA	372990
	Non Resident Indians (Non Repat)	90	37645	0	0	37645	0.0541	37645	0	37645	0.0541	0	0.0541	0	0	NA	NA	35369
	Non Resident Indians (Repat)	369	247769	0	0	247769	0.3563	247769	0	247769	0.3563	0	0.3563	0	0	NA	NA	131169
	Body Corp-Ltd Liability Partnership	2	2800	0	0	2800	0.004	2800	0	2800	0.0040	0	0.004	0	0	NA	NA	2800
	Unclaimed Shares	1	70786	0	0	70786	0.1018	70786	0	70786	0.1018	0	0.1018	0	0	NA	NA	70786

Clearing Member	22	8225	0	0	8225	0.0118	8225	0	8225	0.0118	0	0.0118	0	0	NA	NA	8225
Bodies Corporate	91	391246	0	0	391246	0.5626	391246	0	391246	0.5626	0	0.5626	0	0	NA	NA	391246
Sub Total (B)(3)	12304	7529132	0	0	7529132	10.8265	7529132	0	7529132	10.8261	0	10.8265	0	0	NA	NA	7086831
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	12328	18236754	0	0	18236754	26.2236	18236754	0	18236754	26.2226	0	26.2236	0	0	NA	NA	17793603

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :11/10/2021

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CDSL :30/09/2021

Uniphos Enterprises Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1 Custodian/DR Holder	2	2500	0	0	2500	0.0036	2500	0	2500	0.0036	0	0.0036	0	0	NA	NA	1700
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	2	2500	0	0	2500	0.0036	2500	0	2500	0.0036	0	0.0036	0	0	NA	NA	1700

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :11/10/2021

NSDL : 30/09/2021

CDSL :30/09/2021

Uniphos Enterprises Limited**Table V - Significant Beneficial Owners**

Sr. No.	Details of the SBO			Details of the registered owners			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation/ acquisition of significant beneficial interest
	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
							Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		Nerka Chemicals Pvt Ltd	India		73.2849	73.2849	73.2849	No	No	11-11-2010
2	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		R Shroff Consultants Pvt Ltd	India		0.013	0.013	0.013	No	No	11-11-2010
3	Varun Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Varun Jaidev Shroff	United Kingdom		0.0019	0.0019	0.0019	No	No	01-04-2004
4	Tania Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Tania Jaidev Shroff	United Kingdom		0.0007	0.0007	0.0007	No	No	01-10-2006

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